

Steve Sisolak
Governor

Jhone M. Ebert
Superintendent of
Public Instruction



Southern Nevada Office
2080 East Flamingo Rd,
Suite 210
Las Vegas, Nevada 89119-0811
Phone: (702) 486-6458
Fax: (702) 486-6450

STATE OF NEVADA
DEPARTMENT OF EDUCATION
700 E. Fifth Street | Carson City, Nevada 89701-5096
Phone: (775) 687-9200 | www.doe.nv.gov | Fax: (775) 687-9101

MEMORANDUM

TO: Nevada Department of Education Subrecipients

FROM: Jhone M. Ebert, Superintendent of Public Instruction 

DATE: May 28, 2020

SUBJECT: Federal and State Grant Subrecipient Risk Assessment Process

Introduction

The Nevada Department of Education (NDE) is committed to providing high-quality support and assistance in the effective administration of state and federal grant programs. In our efforts to do so, we are supported by the statutory requirement that we complete an annual risk assessment and monitor all subrecipients of federal program grants. Uniform Grant Guidance 2 CFR 200.331 (b), (d), (e), requires the Department to ensure that federal grants awarded to all subrecipients are being used in compliance with federal statutes and relevant regulations governing the sub-award.

NDE developed and implemented an initial self-reporting financial based Risk Assessment for all federal grant subrecipients in early 2018. Since that time, NDE has developed a much more comprehensive risk assessment process that includes both programmatic and fiscal criteria. This evolution provides a more detailed picture that enables additional opportunities for individualized technical assistance and will drive continuous improvement.

Purpose and Guidance

The purpose of this memo is to provide subrecipients with an understanding of the timeline and upcoming training related to the implementation of the updated Subrecipient Risk Assessment.

The Subrecipient Risk Assessment will be based on a series of fiscal year 2019 data sets that are either provided to the LEAs directly, such as SBAC scores and data sets that are already public knowledge provided by the NDE Student Report Card. The same data sources will be consistently used to assess all LEA's. Each subrecipient's assessed risk level (low, medium, or high) will be determined by a scoring system aligned with other states methodologies and practices. The Subrecipient Risk Assessment will be conducted each fiscal year; thus, risk levels may change from year to year.

The level of assessed risk will determine the frequency and method of subrecipient monitoring as follows:

Risk Level	Monitoring Schedule
Low Risk	A minimum of once every 4 years
Medium Risk	A minimum of once every 2 years
High Risk	A minimum of once every year

The Subrecipient Risk Assessment process is not intended to be a compliance exercise, but rather a proactive approach to detecting administrative, fiscal, and programmatic strengths as well as opportunities for improvement. Risk Assessment outcomes will be used by NDE in conjunction with differentiated monitoring to provide targeted training, technical assistance, and/or necessary guidance to improve student outcomes, promote transparency in grant reporting, and ensure compliance with programmatic requirements to help subrecipients achieve and sustain successful outcomes.

Timeline for Implementation

Task	Timeframe
Training for Subrecipients	May 2020
Risk assessment scoring	June 2020
Distribution of risk assessment scores	July, 2020
Subrecipient feedback	August 2020
Distribution of updated subrecipient FY21 monitoring schedule	August 2020

Next Steps

NDE will provide a web-based training module and post support guidance and resources on a newly developed NDE webpage to assist subrecipients.

We look forward to your feedback as we launch and implement the updated, comprehensive Subrecipient Risk Assessment. Your input is invaluable to NDE’s continued efforts to best serve our stakeholders and students.

Contact

For questions or other inquires around the Subrecipient Risk Assessment, please contact David Bagaason, at: dbagaason@doe.nv.gov or phone: 775-687-9139.